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**TO:** Martin Thompson  
University Senate President

**FROM:** Jacqueline E. Huntoon *Jacqueline E. Huntoon*  
Provost & Vice President for Academic Affairs

**COPIES:** Bruce Seely, Dean, College of Sciences and Arts  
Hugh Gorman, Department Chair, Social Sciences

**DATE:** January 23, 2018

**SUBJECT:** Senate Proposal 9-18, Charter of the Department of Social Sciences

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On behalf of the University's administration, I have reviewed and endorse the proposed charter of the Department of Social Sciences which is Senate proposal 9-18.

**The University Senate of Michigan Technological University**

**Proposal 9-18**

**Department of Social Sciences  
Charter**

# **Charter of the Department of Social Sciences**

## **I. Amendment and Approval of the Charter**

### **A. Procedure for Amending this Charter**

Amendments to this charter may be proposed by any member of the Department's faculty or staff at any time by the following procedure.

- i. A proposed amendment is submitted to (or initiated by) the Chair in writing.
- ii. The Chair will appoint an ad hoc charter committee for review of the proposed amendment. The committee will review the proposed amendment and report to the faculty/staff. The Committee's report will summarize the proposed changes and their potential ramifications.
- iii. The proposed changes will then be discussed at a formal Department meeting. The support of 2/3rds or more of the voting members of the department is required for approval of the amendment.
- iv. All amendments approved by the Department must be approved by the Provost and President.

It shall be the responsibility of the Chair to review the Charter once a year for compliance with University policies and procedures, and if necessary, amend the charter using the above procedure. When the provisions of this Charter are in conflict with University policies and procedures, the University policies and procedures shall take precedence.

### **B. Voting Members of the Department**

Voting members of the Department shall be tenured and tenure-track faculty and lecturers with appointments of at least 50% in the Department of Social Sciences. Full-time staff shall be eligible to vote on non-academic items, including the selection of the Department Chair. Other non-academic items will be identified by the Department Chair prior to balloting.

## **II. Appointment, Duties, and Responsibilities of the Chair**

### **A. Search for a New Department Chair**

The search for a new Department Chair shall be carried out in accordance with Senate Procedures 805.1.1 "Search Procedures for Department Chairs and School Deans."

### **B. Responsibilities**

The Chair shall serve as the Department's chief executive officer. The Chair's responsibilities shall include such matters as:

- General operation of the Department

- Control and maintenance of the budget
- Faculty and staff hiring and recruitment
- Evaluation of the faculty through annual reviews and assignment of merit raises
- Personnel development
- Maintenance of records relevant to personnel actions
- Scheduling of courses and the assignment of teaching schedules
- Approval of sabbaticals and leaves
- Hiring, orientation, and direction of part-time faculty
- Program development
- Assignment of faculty and staff to committees
- Oversight of degree programs and their administration (advising, assessment, complaints, waivers, etc.)
- Oversight of the Department's web presence
- Representation of the Department on the College Council and other forums
- Serving as liaison and conduit for communications between the Department and university administration
- Serving as advocate for the Department to the remainder of campus, including university administration
- Fundraising

The Department Chair shall carry out the above and other obligations as appropriate in accordance with the various policies and guidelines passed on various occasions by the department.

### **C. Acting Chair and Next-in-Charge**

When the Chair will be absent for a brief period, he/she shall name a Next-in-Charge. When the Chair will be absent for an extended time (but less than one semester), he/she shall appoint an Acting Chair. The Next-in-Charge or Acting Chair will be responsible for carrying out the normal responsibilities of the Chair. If the Chair will be absent for more than one semester, the Dean of the College will assign an Interim Chair.

### **D. Department Meetings**

#### 1. Frequency of Meetings

The Chair of the Department will call formal departmental meetings at various times during the year, depending on the volume of business to be conducted, but at minimum at least once a semester. These meetings will be the primary forum for discussion of policy issues. The Chair shall prepare and distribute a tentative agenda ahead of time; any staff or faculty member may request that items be included in meeting agenda.

#### 2. Voting

When votes are taken in Department meetings they may be by voice or hand. Secret ballots will be used at the request of any faculty member.

## **E. Creation of Committees**

The Chair shall make committee assignments near the start of each academic year, after giving faculty the opportunity to volunteer for specific committee assignments. Because committees should serve our educational mission, and not hamper it, committee assignments for all faculty/staff should be kept to a minimum, and distributed equally, but special consideration should be given to not over-loading non-tenured faculty.

The committee structure of the Department will consist of standing committees and ad hoc committees. The standing committees will be:

- Curriculum and Assessment Committee
- Promotion, Tenure, and Reappointment Committee
- Graduate Program committee
- Website Committee

Only voting members of the department will be asked to serve on departmental standing committees, unless in an ex officio capacity.

### 1. Undergraduate Curriculum and Assessment Committee

Composition: number may vary but should include at least one faculty member familiar with each undergraduate degree program.

Appointment: appointed by the Department Chair with no special restrictions.

Responsibilities: Coordinate assessments of the Department's undergraduate programs; review proposals for new courses and/or recommend changes in course offerings and descriptions; and submit all approved changes through the University's binder process. (The binder process is the procedure by which departmental level curriculum changes are integrated into the University's catalog.) Review lab fee and course fee proposals. Review Department Chair's plans for use of part-time faculty. The Chair of this committee is appointed by the Department Chair.

### 2. Graduate Program Committee

Composition: At least four members and a Graduate Director. At least two members will be active in the Industrial Heritage and Archaeology Program and at least two members will be active in the Environmental and Energy Policy program.

Appointment: Appointed by the Chair with the restriction that all committee members are active in at least one graduate program. The Graduate Director, also appointed by the Department Chair, shall serve as the Chair of the committee.

Responsibilities: Guide operations of the Department's graduate program: make decisions involving graduate student admissions; allocate the available graduate teaching assistantships; ensure sufficient course offerings; develop new graduate course proposals

as needed; and oversee the general supervision and direction being given to graduate students. The Graduate Director, in consultation with the Department Chair, will identify assignments for all graduate teaching assistants.

### 3. Promotion, Tenure, & Reappointment Committee

Composition: Three tenured faculty members with a 50% or greater appointment in the Social Sciences Department. Faculty members whose promotion request is to be considered by the committee are not eligible to serve. Faculty members serving on the College PT&R committee should not serve on the Departmental PT&R committee if other faculty are eligible. The Department Chair will not be a member of this committee, even in an ex-officio capacity. Members will serve a term of 3 years, one member rotating off each year, with a new member rotating on. The faculty member in the third year of his/her term will serve as the Committee's chair.

Appointment: Early each fall the Department Chair shall distribute a ballot to all tenured and tenure-track faculty with 50% or greater appointment in the Department. This ballot shall contain the names of all faculty eligible for appointment to the committee and a space for each faculty member to indicate whether this person is "acceptable" or "unacceptable" as a potential appointment to the committee. The ballots shall be collected and tabulated by the Department Coordinator, with the results passed to the Chair of the Department. The Chair shall make appointments to the PT&R Committee only from among eligible faculty who have received a majority approval rating.

Responsibilities: Meet with the Department Chair to initiate the review process for the academic year; review progress of untenured faculty (lecturers and tenure-track) and make recommendations to the Chair regarding reappointment; meet with untenured tenure-track faculty to review progress and answer questions about promotion and tenure process; review files of faculty requesting promotion and/or tenure and make recommendations to Chair; serve as the Department's grievance committee.

### 4. Website Committee

Composition: Number may vary; faculty or staff.

Appointment: Appointed by the Chair.

Responsibilities: Review the department's website to: ensure that it is up-to-date and consistent; identify any changes that are appropriate; make the changes or serve as the liaison with the appropriate person or University department capable of making those changes; and manage the content of any posts to social media made in the name of the Department.

### 5. Ad hoc Committees

An ad hoc committee may be formed at any time during the calendar year as needed. Typical ad hoc committees are those established to conduct a search or to prepare a planning or strategy document.

- a. The need for such a committee and its composition shall be communicated to the Department; committee membership shall only be finalized after faculty have an opportunity for input.
- b. Ad hoc committees will continue to function until the project is complete or the issue is no longer of concern. Existing ad hoc committees may be reconstituted at the beginning of the fall term.

## **F. Budget Development**

Early each academic year, the Department Chair shall prepare a budget for the Department for the coming academic year and present it to the Department at a Departmental meeting for review and input.

## **G. Hiring of Faculty and Staff**

The Department's goal is to attract and employ the people best qualified for its programmatic needs. Tenure-track faculty position openings shall be discussed in a general departmental meeting, before appointment of an ad hoc search committee. The committee, once appointed, will work with the Department Chair, to define the qualifications and interests desired of the job candidates and carry out the search. Faculty shall be kept informed of the progress of all searches and be provided opportunity for input at several stages of the process.

After candidates are interviewed, the search committee will circulate a ballot to the faculty to determine if candidates are viewed as exceptional, acceptable, or unacceptable by faculty and to solicit additional comments. The search committee shall notify the faculty of the results of this ballot. The search committee will then make a recommendation to the Chair.

In no case shall the search committee or the Department Chair recommend appointment of a candidate deemed unacceptable by a majority of the Department's faculty.

For staff, the Department Chair may create a search committee but will, in any case, consult with other staff members.

The Department Chair will prepare letters of appointment that are in accord with University policy and address the particular duties and expectations for performance of all new faculty and staff, and start and end dates of the appointment. All letters will include the statement that no oral representations can modify the written Letter of Appointment or the written Charter, Policy or Procedures. For tenure-track appointment, these letters must identify the start of the tenure probationary period, state the mandatory tenure review date, and define the academic unit in which tenure will be considered for those faculty having split appointments. These letters also should include a link to the Departmental Charter.

## **H. Assignment of Teaching Loads**

The Chair will work with faculty and the Department Coordinator each year to distribute teaching responsibilities in a manner that is efficient and fair. The process will start with an inquiry to faculty that asks what they expect to teach each semester. The Coordinator will work with the Chair to assure that necessary classes will be offered in a proper sequence. There will be an effort to share responsibility for upper and lower division, graduate and undergraduate, seminar and lecture sections over the long run, while recognizing that there are differences among faculty and disciplines. Each faculty member will be expected to teach in their specialty area(s), and also annually to teach at least one section of a lower-division class that applies to General Education requirements, such as introductory discipline-based or interdisciplinary classes.

In general, a standard load for research-active faculty will be two courses per semester. For those who choose to focus on teaching, a 3-2 or 3-3 load will be common. A temporary reduction in teaching load is possible through negotiation with the Chair based on a balance of Departmental needs and available resources. For example, a faculty member may negotiate a reduced load if they need relief for concentration on a research project and have external funds that can be used to hire a replacement instructor.

### **I. Merit Raises**

Merit raises for the Social Sciences faculty shall be determined by the Chair. In delineating these raises the Chair shall take into account each faculty member's activities in teaching, research, and service (both on campus and professionally). In dealing with these areas, the Chair shall attempt to weigh them on a 40%-40%-20% basis, with adjustments as appropriate, such as for lecturers.

In the area of teaching, the Chair shall consider a number of areas, including (but not restricted to): number of classes taught, enrollment, student evaluations, peer evaluations, contribution to the University's general education program, pedagogical publications and awards, number of graduate students being directed, student complaints, and contribution to curricular or program development.

In the area of research, the Chair shall consider a number of areas, including (but not restricted to): presentations at professional meetings, publications of all types, proposals submitted, and grants and proposals funded.

In the area of service, the Chair shall consider a number of areas, including (but not restricted to): level and quality of service on departmental, college, and university committees, contribution to curricular or program development, and level and quality of service to organizations that call on a faculty member's professional expertise.

### **J. Travel Funds**

The Chair will be responsible for the allocation and disbursement of travel funds. The level and availability of funding shall be announced in Department meeting during the fall semester of each school year.

## **K. Evaluation of Chair for Reappointment**

The reappointment of the Chair shall be carried out in accordance with Senate Procedures 506.1.1 “Evaluation Procedures for Department Chairs and School Deans.”

### 1. General Process

Evaluations for reappointment generally take place in the third year of each term of appointment.

The College Dean will initiate the evaluation process by asking the Department to form an Evaluation Committee. He/she will attend the first meeting of the Evaluation Committee and outline the Senate procedures for the reappointment evaluation. These procedures include the creation of a survey instrument that meets the criteria spelled out in the Senate Procedures, a review of the survey instrument by the Department’s constituency, the execution of the survey, the creation of a report based on the survey results, an opportunity for the Department Chair to respond to the report, a review of the report by the Department’s constituency, and a ballot as to whether the Chair should be reappointed.

After the Dean of the College receives the evaluation report and the results of the ballot, he/she will meet, first, with the Department Chair and, second, with the Department’s constituency to discuss the final reappointment decision.

### 2. Formation of Evaluation Committee

The Evaluation Committee will consist of two faculty members elected by the department. This election will be initiated by the Department’s Senator. The Evaluation Committee will not include the current Chair or any faculty member that has a conflict of interest regarding the current Chair’s evaluation.

### 3. Participation

All tenured and tenure-track faculty, lecturers with appointments, and all staff will have the opportunity to provide input on the survey questions, to participate in the survey, to review the committee’s report, and to place a ballot.

### 4. Reporting of Open-Ended Responses

The Evaluation Committee will summarize any open-ended responses included in the evaluation survey. They will not report them verbatim.

## **III. Promotion, Tenure, and Reappointment of Faculty**

The Department Chair and the Department’s PT&R Committee shall follow the following procedures and guidelines. In compliance with Board of Trustees policy, all recommendations for promotion, tenure, and reappointment will require the approval of the Provost, President, and Board of Trustees to become effective. These criteria for promotion, tenure and reappointment supplement the basic promotion, tenure and

reappointment process of the College of Sciences and Arts and of the University as a whole.

A faculty member may qualify for academic advancement through suitable accomplishment in scholarship, effective teaching, professional development, service to students, and administrative or professional services, as specified in these guidelines. Overall, the candidate should show evidence of professional growth both within and outside of the confines of the University.

### **A. Role of the Promotion, Tenure, and Reappointment (PT&R) Committee**

The Promotion, Tenure, and Reappointment (PT&R) Committee (formed as described in Part II, Section E of the Department Charter) shall evaluate the files of applicants and render professional judgment about their suitability for tenure, promotion, and/or reappointment. The committee will provide the Department Chair and the College with a written recommendation and assessment of the scholarly performance of each applicant for tenure and promotion, considering three key areas of evaluation: teaching, research, and service. The Committee will also insure that promotion and tenure files go forward to the College Committee in good order with all necessary materials available. Assessments for reappointments will provide the Department Chair with an evaluation of the candidate's progress toward tenure.

### **B. Reappointment Reviews**

1. Reappointment reviews should be understood as internal assessments by the departmental PT&R committee, leading to recommendations on candidates' progress toward tenure. These reviews should provide goals to help candidates develop strategies to achieve tenure.
2. Faculty due for an annual review of progress toward tenure will submit to the committee a current vitae and a one-page statement regarding activities in research, teaching and departmental/university service in the previous year. The Committee will advise the Department Chair in writing of its assessment of the candidate's progress towards tenure, and provide a copy to the faculty member.
3. Faculty due for a two-year review leading to a committee recommendation on reappointment will submit to the Committee:
  - (i) a current vitae
  - (ii) a one to two page statement on recent and current activities in these areas: research, teaching, departmental/university service, and future plans and directions for the next two years (including work in progress)
  - (iii) teaching evaluation summaries for the past two years
  - (iv) A Peer Teaching evaluation. This document will be a report of two faculty member on the teaching activities of the faculty member under review. The faculty member will identify one member of the department and the chair a

second member to conduct this evaluation. The intent of this review is formative and developmental.

If the PT&R Committee uses other material in its evaluation, the Committee will inform the candidate of its utilization of additional information, which can then be viewed by the candidate.

The Committee will advise the Department Chair in writing of its recommendations for reappointment or non-reappointment and its reasons. The committee will provide a copy to the faculty member.

4. In the case of a recommendation of non-reappointment in a biennial review, the faculty member may provide a written response to the Committee. Both documents will be forwarded to the Department Chair.
5. The Department Chair will recommend for or against reappointment in writing to the Dean of the College of Sciences and Arts. In cases of non-reappointment, reasons will be provided. The faculty member will be notified of the decision and provided a copy of the document.
6. If the Department Chair should make a decision different from that of the PT&R Committee, the candidate may write a response and place it the reappointment file before it goes to the Dean.

### **C. Departmental Responsibilities in the Preparation of Faculty for Tenure**

1. The Department Chair shall arrange annual professional development interviews with untenured faculty members of the Department. These interviews should review recent activities and accomplishments of the faculty member, his/her plans and objectives, and the relationship and merit of his/her contributions to Department and University Programs.
2. The Department Chair must also provide all untenured tenure-track faculty members with a written, confidential opinion of their progress toward tenure. Faculty members shall acknowledge receipt of a copy of the statement by signing and dating the original.
3. Periodically, the PT&R Committee Chair, Department Chair and departmental representative to the College Committee will conduct an informational meeting for untenured tenure-track faculty on the promotion process and on how to build a presentable record for tenure.
4. Tenured faculty members of the department should generally be aware of untenured tenure-track faculty members' progress toward tenure, be familiar with the Department's mentoring plan, and serve as informal mentors where possible.

### **D. Tenure & Promotion Review**

The PT&R Committee, in conjunction with Department Chair, will provide adequate notice of the review deadline and clear instructions to the candidates on preparation of files.

### 1. Submission of Material

The Chair will go over the checklist of what the candidate for tenure and/or promotion is required to submit and by when. In general, candidates will need to submit a curriculum vitae and sample publications for external reviewers, a summary of their research, teaching, and service record in a university-prescribed format (FAR), and a one-page bio for the Board of Trustees.

### 2. Identification of External Reviewers

The Committee, working with the Department Chair, will identify five to seven external scholars to review the file of candidates for tenure and promotion. Because of the multi-disciplinary nature of the department, candidates for tenure and promotion may make suggestions regarding the selection of external reviewers as follows: the candidate will suggest a number of names (about 4-5). Independently of the candidate, the Committee will develop an additional list of names (about 4-5). The candidate will be given an opportunity to eliminate one name from the committee list without explanation. Referees contacted will ordinarily be drawn from both the candidate and committee lists.

### 3. Letters from External Reviewers

The Department Chair shall contact the external reviewers. Normally all letters received will be included in the candidate's review folder. Promotion and tenure folders will contain information identifying the scholars, the relevance of their evaluation for the recommendation in question and any relationship between the external evaluator and the person being evaluated. Letters from external reviewers will be considered confidential personnel communications and will be available for use by only those parties directly involved in the review process. Candidates for promotion and/or tenure will not have access to external evaluations. The University will hold such letters in confidence to the fullest extent consistent with law.

## **E. Rights of Applicant**

1. An individual, not subject to a mandatory review, has a right to withdraw a tenure and promotion application at any time.
2. Candidates will be informed of the PT&R Committee and Department Chair recommendations by the Department Chair before they are sent to the College Committee.
3. Candidates may update their tenure or promotion file after it has left the department only under the following circumstances: when additional information is requested; when a grant application already submitted for review is accepted; when a forthcoming article, chapter, or book is published.

## **F. The Reappointment, Tenure, and Promotion Process beyond the Department**

As defined by University policy, the reappointment, tenure appointment and promotion process includes additional review beyond the Department level.

In a tenure appointment or promotion case, the Department PT&R Committee's recommendation and the Department Chair's recommendation go to the Dean of the College of Sciences and Arts. Based on the recommendation of the College PT&R Committee, the Dean formulates a separate written recommendation for each candidate and sends it, along with the departmental recommendations, to the Provost. The Dean's statement must indicate whether tenure/promotion is recommended. Simultaneously, the Dean is to inform the candidate, in writing, of the recommendation. In cases where the recommendation is against tenure or promotion, the Dean may, upon the request of the candidate, provide a written statement of the reason(s) for the negative recommendation, specifying areas where the candidate's performance is deficient.

Following receipt of the Dean's recommendation, the Provost makes a recommendation to the President. The President then makes a recommendation to the Board of Trustees. The President will inform the Provost of the recommendation to be presented to the Board of Trustees. The Provost will promptly notify the candidate of the recommendation. In cases where the recommendation is against tenure or promotion, the Provost may, upon the request of the candidate, provide a written statement of the reason(s) for the recommendation, specifying areas where the candidate's performance is deficient.

For re-appointment previous to one's tenure case, the process is the same except that the Dean's recommendation is made without input from the College PT&R committee. In addition, the Provost recommends to the President either (i) a one-year (terminal) appointment, or (ii) a two-year reappointment. The President will decide on the reappointment recommendation to the Board of Trustees. The President will inform the Provost of the recommendation to be presented to the Board of Trustees. The Provost will promptly notify the candidate of the recommendation. In cases where the recommendation is a one-year, terminal appointment, the Provost may, upon the request of the candidate, provide a written statement of the reason(s) for the recommendation, specifying areas where the candidate's performance is deficient.

## **G. Early Tenure**

A faculty member may be considered for tenure prior to the mandatory year. Candidates for tenure must meet the same cumulative standards of performance as candidates in their mandatory years. Candidates should consult their departmental chair or unit PT&R committee chair about their chances of successfully achieving early tenure prior to application.

### 1. Procedure for Early Tenure Cases

The following procedures are used for early tenure cases:

- a. The candidate begins the early tenure process by submitting a complete promotion application file to the PT&R committee of the academic unit.

- b. To be considered for tenure prior to the mandatory year, a candidate must receive a 2/3-approval vote from the entire PT&R committee of the academic unit.
- c. In the event of a 2/3-majority vote of the PT&R committee in favor of early tenure for the candidate, the committee forwards the recommendation to the Department Chair. If fewer than 2/3 of the members of the PT&R committee vote in favor of early tenure for the candidate, the candidate is notified of the vote and the process stops for that academic year. No further action or appeal is possible during that academic year.
- d. Once a candidate has been approved by the PT&R committee in the academic unit, the process, including appeals, is exactly the same as it is in the mandatory year.

## 2. Limits on Applying for Early Tenure

A faculty member is not limited in the number of times he or she may be considered for early tenure by the academic unit's PT&R committee. However, beyond the departmental or school PT&R committee, a faculty member may go through the early tenure process only once. Thus, a faculty member will receive full tenure consideration (beyond the department or school level) at most twice: once prior to the mandatory year and once during the mandatory year. Applications withdrawn by the candidate that have been reviewed at levels beyond the academic unit PT&R committee count as a tenure consideration.

## 3. Consideration of Record at Previous Institutions

In the case of individuals who have had substantial time in academic and/or professional positions at other institutions, that individual's time and record in other institutions shall be considered when assessing their academic trajectory.

## **H. Appeals**

As defined by University policy, candidates who are not reappointed, or who are denied tenure or promotion, may appeal, in writing, to the Committee on Academic Tenure, Promotion, and Reappointment. The only grounds for appeals are the failure of a recommending party or parties to follow the Tenure and Promotion Policy, the Faculty Staffing Policy and/or the Tenure, Promotion, and Reappointment Procedures. All appeals must be filed with the Committee within 30 calendar days following the date of notification by the Provost of a negative recommendation to the President. No other route of appeal is provided. Appeals must specifically list the basis for the appeal including the aspect of the policy or procedure that the candidate believes was violated. All such written appeals must be delivered to the Office of the Provost who will forward them to the committee.

## **I. Criteria for Promotion and Tenure**

The granting of tenure and promotion in the Department of Social Sciences rests upon the qualitative assessment and evaluation of the performance of individuals at the time of their promotion. There is no one formula that applies in this department, and quantitative measures (numbers of articles, dollars of outside research raised, numerical summaries of teaching evaluations) cannot replace the qualitative dimension of the review process. The Department believes it is appropriate to consider “departmental citizenship” during the tenure and promotion review. This term refers to the individual’s contribution to the life of the department in various ways, and includes constructive cooperation with other members of the department toward departmental goals in such areas as programmatic development, teaching endeavors, committee assignments, and so forth.

### 1. Criteria For Appointment as Assistant Professor

The candidate should:

- a. Have a terminal degree. This usually will be a Ph.D. or equivalent
- b. Demonstrate competence as a teacher.
- c. Maintain active membership in an appropriate professional society.
- d. Demonstrate evidence of capacity to initiate a record of research and publication.

### 2. Criteria For Promotion to Associate Professor

The candidate should:

- a. Meet all requirements for promotion, or appointment, to the rank of Assistant Professor.
- b. Have a successful record as a teacher, as attested to by students and colleagues. This may also include the supervision of graduate students, service on graduate committees, and advising of undergraduate students.
- c. Maintain continuing and active participation in scholarly research, as evidenced by such activities as peer-reviewed publications in vehicles appropriate to one’s field, presentations at scholarly meetings, and receipt of grants for research and the support of graduate students. The candidate’s record must demonstrate that scholarly work is beginning to move beyond his/her dissertation research, and that scholarly growth and development is likely to continue beyond promotion.
- d. Demonstrate active service to his/her profession. This may include the review of books, manuscripts, prospectuses, or research proposals for outside agencies, participation in one or more appropriate professional societies, such as holding office, serving on committees, and attendance at professional meeting.
- e. Contribute to the academic and professional programs of the University through committee work, program development, or administration service.
- f. Serve the University community through support of student groups or programs and/or the community at large in his/professional capacity.

The Department proposes no one “ideal” combination of these criteria in a candidate for tenure and promotion to associate professor. Still, not all activities are of equal importance. The department weights service to the University and Department least heavily, as evidenced by its informal agreement that junior faculty not be overburdened with committee responsibilities. Individuals can meet criteria e and f above by offering evidence of accepting a larger role in service to the University and local community by the time of their promotion. Greater importance is attached to a candidate’s service to his/her profession, but the most important criteria are b and c. It is recognized that there will be significant variation in the teaching, research, and publication records achieved by candidates for promotion and tenure in this department, and allowances will be made for that variation. Nonetheless, the Department expects candidates to exhibit strength in BOTH teaching AND scholarly research and publication. It will be assumed that new faculty will spend their first two years working most heavily on teaching and course work, with greater evidence of scholarly efforts appearing after the first two years.

### 3. Criteria For Promotion To Professor

The candidate should:

- a. Meet all requirements for promotion to the rank of Associate Professor.
- b. Have a successful record as a teacher, as attested to by students and colleagues. This may also include the supervision of graduate students, service on graduate committees, and advising of undergraduate students.
- c. Have developed a body of scholarly work, recognized nationally by colleagues in his/her field for its quality and significance. This suggests that the individual is acknowledged as an authority who has made important contributions to that field. The candidate should show evidence of significant scholarly activity beyond that which qualified him/her Associate Professor. Promotion is not justified by merely serving time in previous (Associate) rank.
- d. Maintain active service to his/her profession. This may include the review of books, manuscripts, prospectuses, or research proposals for outside agencies, publishers or organizations. It should include active membership and participation in an appropriate professional society, such as holding office, serving on committees, and attendance at professional meetings.
- e. Have a record of leadership and active contributions to the academic, cultural, and professional programs of the University. This should include University committee work, program development, or administrative service.

In the case of individuals who have had substantial time in academic and/or professional positions at other institutions, that individual’s time and record in other institutions shall be considered when applying for tenure and promotion.

### **J. Categories of Evaluation**

The Candidates will be evaluated in the areas of teaching effectiveness, departmental contributions, scholarly activity, and professional service. NOTE: These lists are not all-inclusive; nor is it expected that candidates will show examples of activities in every area.

### 1. Teaching Effectiveness

The candidate should be an effective teacher. Evidence of effective teaching includes:

- a. Evaluations by colleagues.
- b. Evaluations by students.
- c. Evaluations by former students.
- d. Involvement with Social Sciences graduate students, including grant-seeking efforts related to the support of those students.
- e. Services on graduate committees in other departments.
- f. Development of curricular materials.
- g. Participation in professional meetings devoted to teaching in one's field.
- h. Publications related to pedagogical activities in the candidate's field.
- i. Success of students in courses for which the candidate's course is a prerequisite.

### 2. Scholarly Activity and Recognition

The candidate should contribute to the general fund of knowledge. Some evidences of these contributions are:

- a. Publication of professional papers.
- b. Papers delivered to professional meetings.
- c. Publications of books or monographs.
- d. Technical reports, or encyclopedia articles.
- e. Written testimonies from peers in his/her field of scholarship.
- f. Receipt of funding for research and/or writing.
- g. Favorable published scholarly reviews of publications and scholarly citations of his/her work. (Note: Citation indexes do not provide an adequate measure of scholarly activity of recognition in many areas of the social sciences.)

### 3. Contributions to Department

The candidate should contribute to the general operation and growth of the department. Some evidences of such are:

- a. Departmental committee work.
- b. Course or curriculum development.
- c. Effective interaction with departmental faculty.
- d. Student advising.
- e. Attracting students to programs.
- f. University committee work.
- g. Securing outside support for graduate student assistantships, projects, and research.

#### 4. Professional Service

The candidate should contribute to his/her professional community. Some evidences of such contribution are:

- a. Speeches to organized groups.
- b. Consultant to government, education or industry.
- c. Participation in professional societies.
- d. Professional review of manuscripts and proposals.
- e. Participation in University committees.

### **K. Appointment and Promotion of Instructors and Lecturers**

#### 1. Definition of Titles

Lecturers and Instructors are non-tenure track faculty appointments.

a. Instructors are appointed for temporary, one-semester, or semester-by-semester work, part-time or full-time, with contracts no longer than one year. Minimum qualifications are a master's degree or a bachelor's degree with professional qualifications. Instructors normally are hired for instructional duties (delivering classes, holding office hours, etc.).

b. Lecturers are appointed for a two-year term that is renewable. Notice of termination must be given at least one year in advance of the appointment's expiration. Minimum qualifications include a masters degree or equivalent professional qualifications. Lecturers may be expected to provide instructional duties, develop new courses and participate in program development, represent the department, advise students, conduct research, and serve on committees.

c. Senior Lecturers hold a continuing appointment. Notice of termination must be given at least one year in advance of the appointment's expiration. Minimum qualifications include a masters degree or equivalent professional qualifications. In addition to the expectations for lectures, senior lectures are expected to demonstrate excellence in teaching and leadership in education, and develop new courses, teaching methods, and procedures that have substantial impact within the department and across the university.

d. Principal Lecturers hold a continuing appointment. Notice of termination must be given at least one year in advance of the appointment's expiration. Minimum qualifications include a masters degree or equivalent professional qualifications. In addition to the expectations for lecturers and senior lecturers, principal lecturers are expected to demonstrate exceptional achievements in teaching and education, either by fundamental contributions to the University's missions or by broad national or international impact.

#### 2. Performance Review for Lecturers, Senior Lecturers, and Principal Lecturers

All lecturers, senior lecturers and principal lecturers shall be evaluated annually by Department chair, and shall receive written comments. All individuals serving in these positions shall be evaluated by the Promotion, Tenure and Reappointment Committee every other year. As part of those reviews, lecturers, senior lecturers and principal lecturers shall prepare documentation similar to that required for a reappointment review

for untenured, tenure track faculty: statement of activities and self-assessment, current curriculum vitae, and statement of teaching with copies of student teaching evaluations. The committee's recommendation shall address the question of whether the individual should be reappointed for an additional two-year term.

The Committee will advise the Department Chair in writing of its recommendations for reappointment or non-reappointment and its reasons. The committee will provide a copy to the faculty member. In the case of a recommendation of non-reappointment in a biennial review, the faculty member may provide a written response to the Committee. Both documents will be forwarded to the Department Chair.

The Department Chair will make a decision for or against reappointment in writing to the Dean of the College of Sciences and Arts. In cases of non-reappointment, reasons will be provided. The faculty member will be notified of the decision and provided a copy of the document. If the Department Chair should make a decision different from that of the PT&R Committee, the candidate may write a response and place it the reappointment file before it goes to the Dean. The chair shall transmit both the committee report and the chair's recommendation to the dean of the college, who shall approve the departmental recommendations.

### 3. Promotion of Lecturers

Individuals holding appointments in the Lecturer ranks and seeking promotion must notify the Department Chair of his/her intention of seeking promotion. The candidate shall prepare documentation similar to the FAR completed by tenure-track faculty as part of their promotion process, along with information about teaching, a peer teaching evaluation, and a curriculum vitae. The PT&R Committee will evaluate the candidate's record in terms of meeting the job description and expectations for the next level. The Committee will consider the categories of evaluation identified in Subsection III.J of this document, with suitable adjustments to reflect the circumstances of the various lecturers' appointments. The Committee will then make a written recommendation to the Department Chair. The Department Chair makes a written recommendation and forwards that with all other documentation to the Dean of Sciences and Arts. The Dean makes a written recommendation and forwards all documentation to the Provost, who reviews the documentation and makes a recommendation to the President. The President makes the final promotion decision.

## **IV. Role of Non-Voting Members of the Department in Governance**

Adjuncts, instructors, and visiting faculty are encouraged to participate in all meetings and discussions but are not eligible to vote. Full-time professional staff shall be eligible to vote on any non-academic item.

## **V. Sabbatical Leaves**

Sabbatical Leaves are governed by Senate procedures 706.1.1. When a member of the faculty requests a sabbatical leave, the Chair of the Department will forward that request to the University's Sabbatical Leave Committee along with a recommendation supporting or opposing the request. The Chair will base that recommendation on the strength of the proposal and the needs of the department.

## **VI. Emeritus/Emerita Faculty**

Emeritus/Emerita faculty rank is an honorary rank awarded to retirees who have tenure in the professional ranks and have served the University with distinction. Holders of such rank qualify for a number of privileges defined by the University. The process of appointing a faculty member to this honorary rank may be initiated by the faculty member or by others in the Department. In either instance, a document justifying the appointment shall be transmitted to the Department's Tenure, Promotion and Reappointment Committee for review and consideration. That committee shall prepare a recommendation regarding the award of such an appointment. If the committee approves, the Department Chair forwards the committee's recommendation to the Provost for transmission to the Board of Trustees. The faculty member seeking the appointment may appeal a negative recommendation from the PT&R committee to the Department Chair. University policy requires one to have been a full-time faculty member at Michigan Tech for at least 10 years before being appointed to the rank of Emeritus/Emerita.

## **VII. Grievances**

According to the University's grievance policy, a person with a grievance should first try to reconcile that grievance through discussions with the Department Chair. If that effort does not result in a resolution of the grievance, the grievant shall file a grievance in writing with the Department Chair or School Dean within thirty (30) work days after discovery of the event, action, or omission that is the basis for the grievance. The Department Chair or School Dean will pass the written materials on to the departmental grievance committee for action within five (5) workdays.

The Department's Promotion, Tenure, & Reappointment (PT&R) Committee shall serve as the Department's grievance committee. If a member of the PT&R Committee is the griever, the grievance committee shall consist of the two other members of the PT&R committee, plus a third person selected by them. A Human Resources staff member will be appointed to serve as a resource person on each grievance committee. This person will initially provide training services to the committee and will be on call for future deliberations at the request of the committee chair.

### ***Revision History***

*Initial Charter adopted May 8, 1995*

*Revisions (as per Provost memo of 9/13/95) approved November 13, 1995*

*Minor additional revisions approved March 28, 1996*

*Revisions (to incorporate lecturers) approved August 31, 2007*

*Revisions (to be consistent with Senate Policies 506.1.1, 704.1.1, and 805.1.1 and Senate Procedure 710.1) approved December 20, 2013*

*Approved by Department and forwarded to provost by dean, December 14, 2017*

*Revisions (to move the PT&R section out of an appendix, to go from two to one graduate director, and to make minor updates and edits) approved by University administration January 23, 2018*